

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV. 9-2001) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

788190/98002US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

	CONCERNING A FILING UNDER 35 U.S.C. 371	10/069098							
INTER	NATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED							
		29 April 1999 (29.04.99)							
TITLE OF INVENTION Cloned and Engineered Plants and Method of Use for									
APPLICANT(S) FOR DO/EO/US									
. P	ogers Suzanne	the following items and other information							
	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 🔀	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
	The US has been elected by the expiration of 19 months from the priority date (Article 31).								
5. 🔀	A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau).								
	b. has been communicated by the International Bureau.								
	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. 🗓	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. is attached hereto.								
	b. A has been previously submitted under 35 U.S.C. 154(d)(4).	76 H G G 271/ \/2\\							
7.	Amendments to the claims of the liternational Aplication under PCT Article 19 (
	a. are attached hereto (required only if not communicated by the Internation	onal Bureau).							
	b. have been communicated by the International Bureau.								
•	c. have not been made, however, the time limit for making such amendment	ents has NOT expired.							
	d. have not been made and will not be made.								
8. 🗌	An English language translation of the amendments to the claims under PCT Arti	icle 19 (35 U.S.C. 371 (c)(3)).							
_	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	A							
10.	An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Item	ns 11 to 20 below concern document(s) or information included:								
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. 🛣	An assignment document for recording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.							
13.X	A FIRST preliminary amendment.	•							
14.	A SECOND or SUBSEQUENT preliminary amendment.								
15.	A substitute specification.								
16.	A change of power of attorney and/or address letter.								
17.	A computer-readable form of the sequence listing in accordance with PCT Rule								
18.	A second copy of the published international application under 35 U.S.C. 154(c	d)(4).							
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.	Other items or information:								

U.S. APPLICATION NO. (if kno	779798	ATTORNEYS DOCKET NUMBER 788190/98002US							
21.X The follow	ring fees are submitted:	CALCULATIONS PTO USE ONLY							
. —	L FEE (37 CFR 1.492 (a)								
Neither internation	nal preliminary examination carch fee (37 CFR 1.445) dearch Report not prepare								
International prelin USPTO but Intern	ninary examination fee (3 ational Search Report pre			/					
International prelin but international se	ninary examination fee (3 arch fee (37 CFR 1.445(a								
but all claims did no	ninary examination fee (3 ot satisfy provisions of PC								
International prelin	ninary examination fee (3								
		rticle 33(1)-(4) BASIC FEE AMOU		\$					
			20 30	3	740.00				
Surcharge of \$130.0 months from the ear	0 for furnishing the oath liest claimed priority date	\$							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	000 00				
Total claims	36 - 20 =	16	x \$18.00	\$	288.00				
Independent claims	6 - 3 =	3	x \$84.00	\$	252.00				
MULTIPLE DEPEN	DENT CLAIM(S) (if app		+ \$280.00	\$ 0 1	200 00	 			
		F ABOVE CALCUITY STATES ABOVE 1.27. The fees			,280.00				
Applicant claim are reduced by		\$	640.00	-					
			BTOTAL =	\$					
Processing fee of \$13 months from the ear	30.00 for furnishing the I liest claimed priority date			\$					
		TOTAL NATIO		\$					
Fee for recording the accompanied by an a	e enclosed assignment (37 appropriate cover sheet (3	\$	40.00						
		\$	680.00						
			ount to be refunded:	\$					
		- ()			charged:	\$			
a. X A check in the amount of \$ 680.00 to cover the above fees is enclosed. Ck. No. 7-021846 b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4295. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR									
1.137 (a) or (b)) mi	ust be filed and granted	to restore the application	on to pending status	· .	. // /				
SEND ALL CORRESPONDENCE TO:									
	tenzo, Esquire	RE		,					
Steptoe & Jo		an I). Dortenzo)					
P.O. Box 219	WV 26302-2190								
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October 26, 2001

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"Express Mail" Mailing Label No.: ET541903397US

Date of Deposit: October 26, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

Re:

National filing of PCT Patent Application

U.S. Appl. No. (to be assigned); U.S. Filed: (herewith)

International Application No.: PCT/US00/11400

International Filing Date: April 28, 2000

Priority Date: April 29, 1999

For: Cloned and Engineered Plants and Method of Use for Bioremediation

Inventor:

Suzanne D. Rogers

Our Ref:

788190/98002

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office for entry into the National Phase:

- 1. Form PTO-1390 (in duplicate): Transmittal letter to the U.S. DO/EO/US concerning a filing Under 35 U.S.C. 371;
- 2. A Preliminary Amendment;
- 3. An original executed Combined Declaration and Power of Attorney;
- 4. Form PTO-1595: Assignment Cover Sheet;
- 5. An original executed Assignment;
- 6. Our Check No. 7-021846 for \$680.00 to cover \$370.00 filing fee, \$40.00 assignment fee and any additional claim fee for patent application (small entity status);and
- 7. One (1) return post card.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Assistant Commissioner for Patents October 26, 2001 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-4295. A duplicate copy of this letter is enclosed.

Respectfully submitted, STEPTOE & JOHNSON PLLC

Megan D. Dortenzo Attorney for Applicant Registration No. 39,172

788190/98002